

**DOCKET NO.: PUAS-0067**  
**Application No.: Not Yet Assigned**  
**Preliminary Amendment - First Action Not Yet Received**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
**Forrest et al.**

Confirmation No.: **8094**

Application No.: **09/982,001**

Group Art Unit: **2874**

Filing Date: **October 18, 2001**

Examiner: **Ullah, Akm E.**

For: **TWIN WAVEGUIDE BASED DESIGN FOR PHOTONIC INTEGRATED CIRCUITS**

**EXPRESS MAIL LABEL NO: EV 325644764 US**  
DATE OF DEPOSIT: August 15, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**PRELIMINARY AMENDMENT PURSUANT TO 37 CFR § 1.115**

Preliminary to examination of the above-captioned patent application, please amend the application as follows:

- Amendments to the Specification** begin on page 2 of this paper.
- Amendments to the Claims** are reflected in the listing of the claims which begins on page 3 of this paper.
- Amendments to the Drawings** begin on page \_\_\_\_\_ of this paper and include an attached replacement sheet.
- Remarks/Arguments** begin on page 6 of this paper.